

Polar Rectification Effect in Electro-Fatigued SrTiO<sub>3</sub>-Based Junctions

Xueli Xu,<sup>◆</sup> Hui Zhang,<sup>◆</sup> Zhicheng Zhong,<sup>\*</sup> Ranran Zhang, Lihua Yin, Yuping Sun, Haoliang Huang, Yalin Lu, Yi Lu, Chun Zhou, Zongwei Ma, Lei Shen, Junsong Wang, Jiandong Guo, Jirong Sun,<sup>\*</sup> and Zhigao Sheng<sup>\*</sup>



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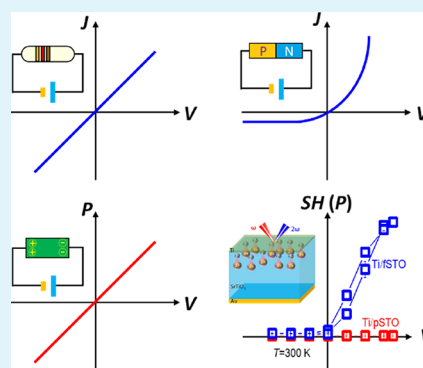
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Supporting Information

**ABSTRACT:** Rectifying semiconductor junctions are crucial to electronic devices. They convert alternating current into a direct one by allowing unidirectional charge flows. Analogous to the current-flow rectification for itinerant electrons, here, a polar rectification that is based on the localized oxygen vacancies (OVs) in a Ti/fatigued-SrTiO<sub>3</sub> (fSTO) Schottky junction is first demonstrated. The fSTO with OVs is produced by an electrodegradation process. The different mobilities of localized OVs and itinerant electrons in the fSTO yield a unidirectional electric polarization at the interface of the junction under the coaction of external and built-in electric fields. Moreover, the fSTO displays a pre-ferroelectric state located between paraelectric and ferroelectric phases. The pre-ferroelectric state has three sub-states and can be easily driven into a ferroelectric state by an external electric field. These observations open up opportunities for potential polar devices and may underpin many useful polar-triggered electronic phenomena.

**KEYWORDS:** polar rectification, pre-ferroelectric state, heterojunctions, Schottky junction, SrTiO<sub>3</sub>

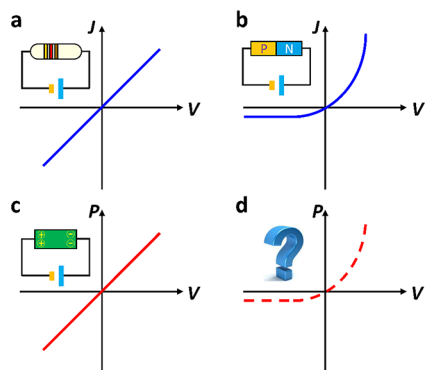


## 1. INTRODUCTION

From the perspective of mobility, charges can be divided into two types: itinerant and localized.<sup>1</sup> The movement of itinerant charge carriers generates a current under an electric field. Metal or ohmic-contacted heterojunctions exhibit symmetric  $J$ - $V$  curves where the intensity of current  $J$  is independent of the direction of electrical field  $V$  (Figure 1a). For heterojunctions with a non-ohmic contact, a rectifying effect with asymmetric  $J$ - $V$  relation is expected,<sup>2</sup> as schematically shown in Figure 1b. The rectification of itinerant charge

carriers has become a key signal-processing tool in current electronics, ranging from power supplies to high-frequency detectors, smart phones, computers, TV sets, and wireless communications. The localized charges, on the other hand, can generate electric polarization when placed in an electric field. In general, the relationship between the polarization strength ( $P$ ) and  $V$  is symmetric for either a single dielectric material or a non-ohmic-contacted heterointerface (Figure 1c). Finding an asymmetric  $P$ - $V$  characteristic (Figure 1d), i.e., polar rectification, is a natural expectation, and it may have potential applications in the field of switchable rectifiers, energy storage, and computation.

In this context, the manipulation of remnant polarization, especially at the surface/interface, is of great importance for the creation of polar rectification. Recently, it has been shown that polar switching of ferroelectric thin films is sensitive to the electric boundary conditions at the surface/interface, including space charge generated from band bending or charged states formed from defects/surface adsorbates.<sup>3,4</sup> The electronic asymmetry at the ferroelectric surface/interface, especially space charge accumulated near the metal-ferroelectric

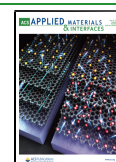


**Figure 1.** Schematic images of  $J$ - $V$  and  $P$ - $V$  relationships for typical electronic devices. (a)  $J$ - $V$  relationship of a resistor. (b)  $J$ - $V$  relationship of a  $p$ - $n$  junction. (c)  $P$ - $V$  relationship of a dielectric material. (d)  $P$ - $V$  relationship of a polar rectifier device.

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interfaces, can often generate displacement of the  $P$ - $V$  hysteresis loops, particularly along the electric field axis.<sup>3,4</sup> Such displacement is a biased effect with a negligible on/off ratio, and the polar rectification phenomenon has not been reported so far. However, the idea related to the localized charge manipulation near the metal–dielectric interfaces may provide a possible route for the realization of polar rectification.

Oxygen vacancies (OVs) in oxides can act as either mobile or localized donors, and their behavior at the metal–oxide interface can be controlled electrically.<sup>5</sup> As a typical transition-metal oxide with high dielectric constant, low dielectric loss, and good thermal stability, SrTiO<sub>3</sub> (STO) is widely used in inverter capacitors, resistance switching random access memories, and other electronic devices.<sup>6,7</sup> Considerable research efforts have been devoted to the OV-induced structural, electric, magnetic, and ferroelectric properties in STO single crystals and metal–STO heterojunctions.<sup>6–13</sup> In addition, STO was identified as an incipient ferroelectric material, which approaches but does not cross the ferroelectric phase transition due to quantum fluctuation.<sup>14–16</sup> Introducing OVs can suppress the quantum fluctuation and stabilize the ferroelectric phase of STO,<sup>17</sup> yet unidirectional electric polarization has not been reported.

Here, by repeatedly applying the electric field to a Ti/STO heterojunction, lots of OVs accumulate in the Ti/STO interface. Most of the oxygen vacancies are charged with 2+(Vo<sup>2+</sup>), but there also exists neutral or 1+ because of the metastable Vo<sup>2+</sup>.<sup>18</sup> Thus, an electro-fatigued STO (fSTO) crystal with OV–electron pairs is created. By controlling the movement of OV–electron pairs in the depletion layer of the Ti/fSTO junction, a polarization rectification effect is realized. Further structural, Raman, and nonlinear optical measurements show that such fSTO resides in the crossover regime between the pristine quantum paraelectric state and the ferroelectric state. A small external electric field (<1.2 kV/cm) can drive this pre-ferroelectric STO crystal into the ferroelectric phase within the temperature range from 50 to 170 K.

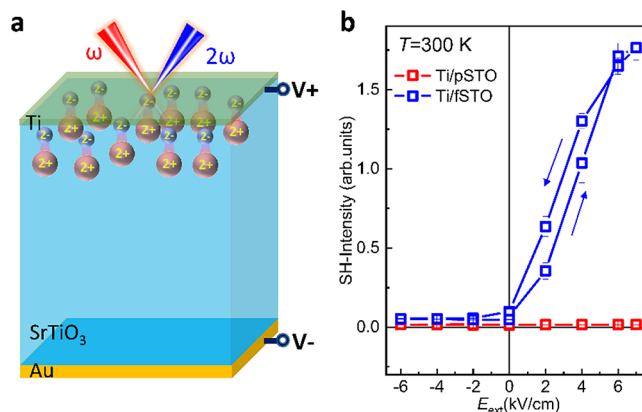
## 2. EXPERIMENTAL SECTION

**2.1. Sample Fabrication.** The Ti/STO/Au sandwiched samples for SH and dielectric measurements were obtained by depositing a 6 nm-thick Ti layer on the top and 100 nm-thick Au layer on the back side of a (001) STO substrate (3 × 5 × 0.5 mm<sup>3</sup>, doubly polished). Ti (anode) was grown by magnetron sputtering in an Ar atmosphere of pressure 5 × 10<sup>−3</sup> mbar at room temperature. Also, the Au layer (cathode) was grown through thermal evaporation in the vacuum chamber. Since the Ti layer is only 6 nm in thickness, the laser light can pass through it without obvious reflection from the metal layer. For the electro-fatigue process, the  $E_{\text{ext}}$  up to 10 kV/cm was applied on STO with a Keithley 2410 source. The 10 kV/cm field was maintained on Ti/STO for 30 min and then dropped down to zero. With sweeping up and down of  $E_{\text{ext}}$  for more than 10 times, more and more OVs are created and an electro-fatigued STO was produced. The detailed fatigue process and the monitoring data by second harmonic generation (SHG) can be found in Figure S1.

**2.2. SHG Measurement.** The 45° reflection geometry with a fundamental wavelength at 800 nm (150 fs duration at a 1 kHz repetition rate) was used here. A half-wave plate was used to rotate the polarization angle of the incident pump pulses, and a Glan prism was used to rotate the polarization angle of the output SH pulses. The SH photons, selected using a monochromator, were transformed using a photomultiplier tube and then recorded using a lock-in amplifier (details can be found in Figure S2).

## 3. RESULTS AND DISCUSSION

The structure of the Ti/STO heterojunctions is sketched in Figure 2a. A (001)-orientated STO single crystal (5 × 5 × 0.5



**Figure 2.** (a) Schematic image for a Ti/fSTO/Au heterojunction and SHG measurements for the electric polarization. The red and blue balls represent the oxygen vacancies and electrons, respectively. (b) Electric field-dependent SH intensity for both Ti/pSTO and Ti/fSTO junctions measured at  $T = 300$  K. The arrows represent the electric field sweeping direction.

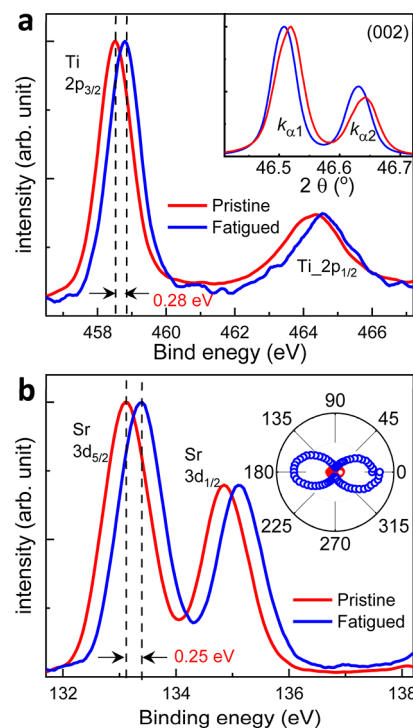
mm<sup>3</sup>) was covered by a 6 nm-thick Ti layer (anode) on the surface and a 100 nm-thick Au layer (cathode) on the back. Both pristine STO (pSTO) and fSTO samples were studied, with the latter produced by sweeping an electric field from 0 to 10 kV/cm up and down more than 10 times on the Ti/STO junction (Figure S1).<sup>10,11</sup> Before, during, and after the fatigue process, the electric polarization in the Ti/fSTO junction is measured by the SHG technique, which is highly sensitive to symmetry breaking and often used in probing electric polarization in ferroelectric crystals and thin films (Figure S2).<sup>19–21</sup> Figure 2b shows the SH intensity as a function of external electric field ( $E_{\text{ext}}$ ) for both Ti/pSTO and Ti/fSTO heterojunctions. As sweeping  $E_{\text{ext}}$  from negative to positive values, the SH output signal for the Ti/pSTO junction is negligible and remains constant in the whole measured field range (red curve in Figure 2b). In contrast, for the Ti/fSTO case, it is apparent to find an asymmetric SH– $E_{\text{ext}}$  loop as  $E_{\text{ext}}$  sweeps (blue curve in Figure 2b). When a negative  $E_{\text{ext}}$  is applied, i.e., Ti(−)/STO(+), the SH output signal is small and remains constant, similar to that of the pristine case. For positive  $E_{\text{ext}}$ , on the other hand, the SH intensity increases monotonously with increasing  $E_{\text{ext}}$ . The ratio of the SH signal for  $E_{\text{ext}} = +6$  and  $-6$  kV/cm is around 32, which can serve as the on/off ratio of this polar rectification junction. Apparently, in addition to the current rectifier devices based on itinerant charge, a polar rectifier device based on localized charges has been realized here.

Comparing the SH– $E_{\text{ext}}$  results of both pristine and electro-fatigued STO junctions, we conclude that the fatigue process of the STO crystal plays a key role in realizing polar rectification. As mentioned above, the fatigue process of the STO crystal was done by sweeping large  $E_{\text{ext}}$  up and down repeatedly in the Ti/STO junction. This is similar to the processes adopted in previous works,<sup>10,11,22</sup> where electric-field-fatigued STO was reported. During this fatigue process, it was also found that the Ti/STO interface can act as an oxygen getter.<sup>10,11</sup> The work function ( $\Phi_{\text{M}}$ ) of Ti is 4.33 eV. The band

gap, electron affinity, and charge neutrality level for SrTiO<sub>3</sub> are 3.3, 3.9, and 4.6 eV, respectively.<sup>23–25</sup> The bands line up between the work function of the metal and the charge neutrality level of STO, which gives a Schottky barrier height (built-in potential) of  $-0.27$  eV (band bending down) for Ti/STO (Figure S3). The electric field in the interface of the depletion layer ( $E_{in}$ ) is calculated at  $\sim 40.2$  kV/cm based on the sharp Schottky diode model (Figure S3), which is much larger than the  $E_{ext}$  applied here. When the applied  $E_{ext}$  is opposite to  $E_{in}$ , i.e., Ti(-)/STO(+), no OV's can be generated as  $E_{ext}$  is much smaller than  $E_{in}$ . For the Ti(+)/STO(-) case, however, the OV's can be continually generated near the Ti/STO interface when  $E_{ext}$  exceeds a critical value ( $E_c$ ).<sup>11</sup> For the Ti/pSTO(001) junction, the  $E_c$  is around 8 kV/cm. In this work, with sweeping  $E_{ext}$  up and down between 0 and 10 kV/cm ( $>E_c$ ), OV's are generated and migrate into the STO. After 10 electric cycles, many remnant OV's were produced near the Ti/STO interface and thus an electro-fatigued STO layer is created (Figure 2a). Due to the large intensity of  $E_{in}$ , most remnant OV's were located in the depletion layer of the Ti/STO junction. It was calculated that the width of depletion layer ( $W_d$ ) increases from 100 nm to several micrometers when  $E_{ext}$  was swept from 0 to 10 kV/cm (Figure S3). We emphasize that such an electro-fatigue process can only be realized in the metal/STO junction with the bending down of the electron energy band. For the band bending up ( $\Phi_M > 4.6$  eV) cases, such as Au/STO, the remnant OV's and thus fSTO could not be produced due to the opposite direction of  $E_{in}$ , which has been confirmed by X-ray measurements (Figure S4). These features are also consistent with previous reports, in which the oxygen atoms were proved to be able to transfer from the STO to the metal layer, resulting in the formation of OV's in STO.<sup>12,13,26</sup>

To further confirm the existence of OV's in the fSTO, X-ray photoelectron spectroscopy (XPS) was performed. Figure 3a,b shows the high-resolution XPS spectra of Ti 2p and Sr 3d for the fatigued and pristine STO, respectively. These XPS data were calibrated by the C 1s spectra (Figure S5). Comparing with the pSTO, the XPS peaks of Ti 2p<sub>1/2</sub> and Ti 2p<sub>3/2</sub> shift about 0.28 eV and the two peaks of Sr 3d shift about 0.25 eV. This indicates the existence of OV's in the STO.<sup>27</sup> In addition, X-ray diffraction (XRD) results show that the (002) diffraction peak of the fSTO crystal shifts to a lower  $2\theta$  angle (the inset of Figure 3a), which indicates the elongation of the  $c$ -axis and therefore the existence of OV's in the whole near-surface region.<sup>10,11</sup> Moreover, when the applied electric field is large enough, it can also cause another transient electrostrictive distortion effect (Figure S3).<sup>28,29</sup> There are two points worth of special attention. First, the critical electric field for the electro-induced crystal distortion of Ti/fSTO is larger than 12 kV/cm. In order to eliminate the contribution from the additional electrostrictive distortion effect, all the polar rectification measurements were done with the electric fields less than 6 kV/cm. Second, the observed electro-fatigue effect in STO has crystal axis orientation dependence. It was found that the  $E_{ext} = 10$  kV/cm is enough for the fatigued (001)-oriented STO crystal, while it is insufficient for the (110) and (111) STOs ( $E_c > 10$  kV/cm), which indicates that the creation and migration of OV's are harder in the latter two crystalline directions (Figure S1).

In fSTO, the ejection of the neutral oxygen leaves a lattice deformation (OV) and two extra electrons. These electrons



**Figure 3.** XPS spectra for both pSTO and fSTO crystals. (a) XPS spectra of Ti in STO crystals. The inset of (a) is the XRD results of the (002) peak for both pSTO and fSTO crystals. (b) XPS spectra of Sr in STO crystals. The inset of (b) is the polar plots of the SH intensity versus the polarization angle of output light for  $E_{ext} = 0$  V/cm of pSTO and  $E_{ext} = 0$  V/cm and 10 kV/cm of fSTO.

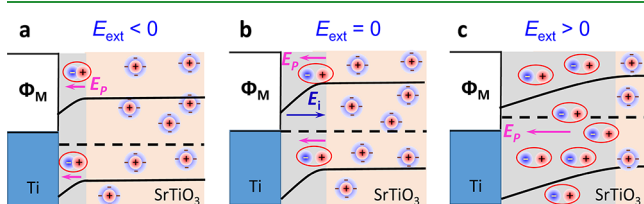
can stay in the OV's accompanied by strong relaxation of the neighborhood lattice (a polaron).<sup>30</sup> For simplicity, the OV's with attracted electrons are labeled as OV–electron charge pairs here. With an  $E_{ext}$  applied, the displacement of electrons from the OV's in the fSTO would produce an electric polarization, which contributed to the third-order susceptibilities  $\chi_{ijk}$  as well as the SHG. The effective SHG susceptibility for this case can be written as  $\chi^{eff} = \chi_{ijk} E_{ext} L$ , in which  $L$  is the effective modulation depth of  $E_{ext}$ .<sup>31–33</sup> This provides us an efficient route to monitor the polarization state in the Ti/fSTO junction as used here.

The above analysis confirmed the existence of OV–electron charge pairs near the Ti/fSTO interface. Next, let us examine the  $E_{ext}$ -induced behavior of OV–electron charge pairs and the mechanism for emergent polar rectification, as shown in Figure 2b. When a conventional dielectric material, such as pSTO, is placed in an electric field, the positive and negative charges slightly shift from their average equilibrium positions in an opposite way. Nevertheless, the overall positive and negative charge centers in the material are still coincident. Then, there is no remnant electric polarization that exist, except minor field-induced charges on the surface, which would contribute to the polarization as well as a small SH output. The amount and the direction of those surficial charges are determined by both the intensity and the direction of  $E_{ext}$ . Hence, a symmetric  $P$ – $E_{ext}$  relationship can be obtained (Figure 1c). This is the case for the Ti/pSTO junction (red curve in Figure 2b). However, the situation is different if there are excess charges, such as OV's. These excess OV's–electron pairs can be separated by  $E_{ext}$  producing electric polarization as well as SH output, which has been confirmed by our experiments



(blue curve in Figure 2b). It should be noted that the mobilities of positive OV and negative electrons are completely different. While the electrons can be easily moved by the electric field, as a structural defect, the movement of OV requires higher energy, as proved by the fatigue process. The  $E_{\text{ext}}$  values in our study are smaller than the highest electric field used for the fatigue process (10 kV/cm), which only drives the electrons around essentially static OVs.

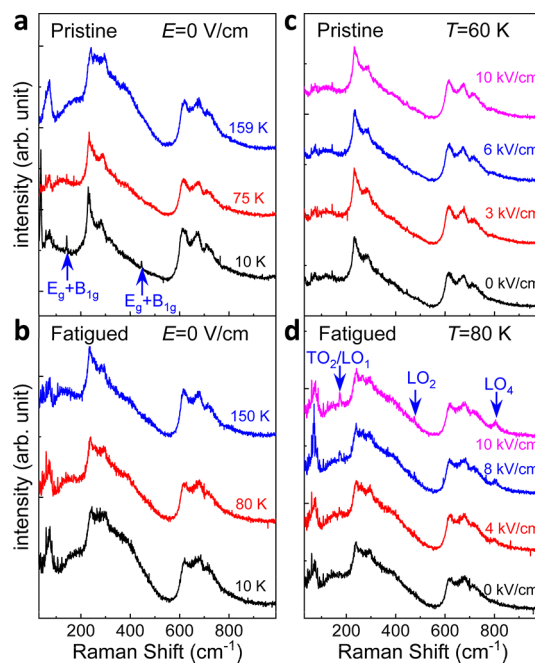
Figure 4a–c shows the schematic band diagram of Ti/fSTO Schottky junctions with different  $E_{\text{ext}}$  values. When  $E_{\text{ext}} = 0$ , the



**Figure 4.** Schematic illustration of the energy band diagram of Ti/fSTO junctions. (a–c) Band structures with (a)  $E_{\text{ext}} < 0$  V/cm, (b)  $E_{\text{ext}} = 0$  V/cm, and (c)  $E_{\text{ext}} > 0$  V/cm. The work function ( $\Phi_{\text{M}}$ ) of Ti is 4.33 eV, and the charge neutrality level of STO is 4.6 eV. The pink and blue arrows indicate the polarization direction of OV and electron pairs and the electric field in the depletion layer of the junction ( $E_i$  denotes the built-in electric field in the depletion layer;  $E_p$  denotes the polar direction of oxygen–electron pairs).

band bending and the charge accumulation happens in the  $W_d$  ( $\sim 133.8$  nm), accompanied with  $E_{\text{in}}$  pointing from Ti to STO (Figure 4b). The OV–electron pairs in the depletion layer were separated due to the existence of  $E_{\text{in}}$ , which produce a small remnant polarization as well as weak SH signals (Figure 2d and blue circles in the inset of Figure 3b). By comparing with the polarization of the BaTiO<sub>3</sub> film, this remnant polarization of Ti/fSTO is identified as  $0.03 \mu\text{C}/\text{cm}^2$ . When  $E_{\text{ext}} < 0$ ,  $W_d$  shrinks and the amount of OVs in the depletion layer is reduced. As a result, less polarization as well as weaker SH signals can be detected (Figure 2b). When  $E_{\text{ext}} > 0$ , as shown in Figure 4c,  $W_d$  extends wider and the number of OV–electron pairs in the depletion layer increases more. At the same time, the  $E_{\text{ext}}$ -induced separation of OV–electron pairs gives rise to much larger polarization and SH signals. It was found that, comparing to the SH result obtained with  $E_{\text{ext}} = 0$ , a 7 kV/cm  $E_{\text{ext}}$  causes a 25 times increase in SH output (Figure 2b and the inset of Figure 3b), which corresponds to a 5-fold enhancement of  $P$ . Obviously, due to the low mobility of OVs and the variation of  $W_d$  in the Ti/fSTO Schottky junction, an asymmetric SH– $E_{\text{ext}}$  relationship was obtained, as shown in the Figure 2b. Analogous to the current rectification in semiconductor heterojunctions, the polar rectification observed from the Ti/fSTO junction may have potential applications in memory devices, high voltage protection, and so on.

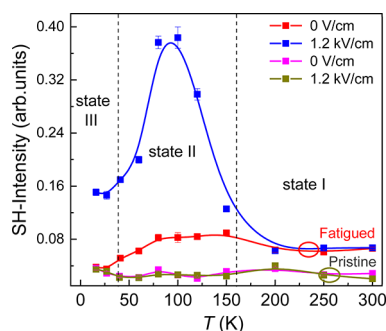
After examining polar rectification of the Ti/fSTO junction, let us get a chance to explore the fSTO crystal itself. As shown in the inset of Figure 3a, the OVs distort the lattice structure of the fSTO crystal, which may also give rise to a transition of the electronic phases of the STO crystal. To further clarify the exact phase state of fSTO, Raman spectra were measured at different temperatures and the typical results are shown in Figure 5. For pSTO, there is a cubic-to-antiferrodistortive (AFD) structure transition at  $T_a \approx 105$  K and the  $E_g+B_{1g}$  (143



**Figure 5.** Raman spectra of pristine and fatigued STO. (a, b) Raman spectra at different temperatures when  $E = 0$  V/cm for pristine STO and fatigued STO, respectively. (c, d) Raman spectra at different electric fields of pristine STO ( $T = 80$  K) and fatigued STO ( $T = 60$  K), respectively. The blue arrows in (a) indicate the  $E_g+B_{1g}$  modes. The blue arrows in (d) indicate the TO/LO modes.

and  $446 \text{ cm}^{-1}$ ) Raman modes emerge below  $T_a$ .<sup>34</sup> This has been confirmed by Figure 5a. For fSTO, however, the Raman spectra are almost temperature-independent (Figure 5b). No  $E_g+B_{1g}$  Raman modes are found below 10 K, which implies the suppression of the AFD structure transition by OVs. More than that, no ferroelectric phase is formed, as implied by the absence of first-order LO phonon modes that has been identified as the signature of a ferroelectric phase in perovskite oxides.<sup>35</sup> Figure 5c,d shows the Raman spectra under  $E_{\text{ext}}$  for both pristine and fatigued STO measured at  $T = 60$  and 80 K, respectively. It was found that the Raman spectra of pSTO are insensitive to the electric field up to 10 kV/cm. Interestingly, a series of first-order LO phonon Raman modes, i.e., peaks at  $173 \text{ cm}^{-1}$  (LO1/TO2),  $475 \text{ cm}^{-1}$  (LO3), and  $803 \text{ cm}^{-1}$  (LO4), as well as the ferroelectric structural phase were induced in fSTO by the external electric field.<sup>35</sup> It implies that, comparing with pSTO, the fSTO can be easily driven into the ferroelectric state. These observations indicate that the OVs in fSTO have suppressed AFD and driven the STO to the border of the FE phase. From this viewpoint, it is reasonable to deduce that the fSTO is in a pre-ferroelectric state.

To further explore the pre-ferroelectric state in fSTO, the temperature-dependent SH output has been studied. As depicted in Figure 6, the SH measurement of pSTO shows that there is almost no spontaneous polarization in the whole measurement temperature range. As for the fatigued sample, there is an upturn of SH signals around 170 K and a drop of SH output below 40 K (red curve in Figure 6). This feature implies that there are three sub-states for the pre-ferroelectric STO crystal at different temperatures. We assign them as pre-ferroelectric state I ( $T > 170$  K), II (40–170 K), and III (below 40 K). To further study the different pre-ferroelectric states of fatigued STO, the temperature-dependent SH



**Figure 6.** Temperature dependence of SH intensity for fatigued and pristine STO with  $E_{\text{ext}} = 0$  and 1.2 kV/cm, respectively.

measurements with application of a small electric field ( $E_{\text{ext}} = 1.2$  kV/cm) were done (blue curve in Figure 6). For pre-ferroelectric state I, there is a negligible effect on the SH signal with such small  $E_{\text{ext}}$ . When the fSTO get into pre-ferroelectric state II, a large enhancement of SH output was found. Around 80 K, the SH is enlarged more than five times by a 1.2 kV/cm electric field. Such electric field enhancement remains below 40 K, which implies that the application of  $E_{\text{ext}}$  helps suppress quantum fluctuation in the fSTO. However, as shown in Figure 6, the polarization gets a large reduction from pre-ferroelectric state II to III even with a bias field. This indicates that there is a competition between the quantum fluctuation and external electric field, and the electric field applied here can only partially suppress the quantum fluctuation. The temperature dependence of the pre-ferroelectric state might originate from the temperature dependence of ionization of OV in fSTO. Based on the SH results, it seems that the OV–electron pairs are more easily separated in a temperature range of 40–170 K, which may be attributed to the structural transition of the fSTO crystal within this temperature range.

#### 4. CONCLUSIONS

In conclusion, by utilizing the electric field sweeping technique, the fSTO with OVs inside was created. Taking advantages of different mobilities between OVs and electrons in the depletion layer, a polar rectification was realized for the first time in a Ti/fSTO heterojunction. The temperature and electric field varied SH output and X-ray diffraction and Raman measurements, and we identified that such STO owns a pre-ferroelectric state, which is just between quantum paraelectric and ferroelectric states. Moreover, it is interesting to find that the fSTO with a pre-ferroelectric state is easily driven into a ferroelectric state by an external electric field. These findings not only give a further path to study the ferroelectric state of perovskite oxides but also provide a novel electric rectification device that may be a building block in future electronics.

#### ■ ASSOCIATED CONTENT

##### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsami.0c08418>.

Electrodegradation process for the fatigued SrTiO<sub>3</sub>, second harmonic generation (SHG) measurements, band structural analysis of the Ti/SrTiO<sub>3</sub>/Au junctions, XRD results for both Ti/SrTiO<sub>3</sub> and Au/SrTiO<sub>3</sub> junctions with application of  $E_{\text{ext}}$  and calibration of X-ray photoelectron spectroscopy (XPS) spectra (PDF).

#### ■ AUTHOR INFORMATION

##### Corresponding Authors

**Zhigao Sheng** – Anhui Province Key Laboratory of Condensed Matter Physics at Extreme Conditions, High Magnetic Field Laboratory, Chinese Academy of Science, Hefei 230031, China; Key Laboratory of Photovoltaic and Energy Conservation Materials, Institute of Applied Technology, Chinese Academy of Sciences, Hefei 230031, China; [orcid.org/0000-0003-3382-5968](https://orcid.org/0000-0003-3382-5968); Email: [zhigaosheng@hmf.ac.cn](mailto:zhigaosheng@hmf.ac.cn)

**Jirong Sun** – Beijing National Laboratory for Condensed Matter Physics, Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China; [orcid.org/0000-0003-1238-8770](https://orcid.org/0000-0003-1238-8770); Email: [jrsun@iphy.ac.cn](mailto:jrsun@iphy.ac.cn)

**Zhicheng Zhong** – Key Laboratory of Magnetic Materials and Devices, Zhejiang Province Key Laboratory of Magnetic Materials and Application Technology, Ningbo Institute of Materials Technology and Engineering, Chinese Academy of Sciences, Ningbo 315201, China; [orcid.org/0000-0003-1507-4814](https://orcid.org/0000-0003-1507-4814); Email: [zhong@nimte.ac.cn](mailto:zhong@nimte.ac.cn)

##### Authors

**Xueli Xu** – Anhui Province Key Laboratory of Condensed Matter Physics at Extreme Conditions, High Magnetic Field Laboratory, Chinese Academy of Science, Hefei 230031, China; University of Science and Technology of China, Hefei, Anhui 230026, China; Key Laboratory of Photovoltaic and Energy Conservation Materials, Institute of Applied Technology, Chinese Academy of Sciences, Hefei 230031, China

**Hui Zhang** – Fert Beijing Institute, School of Microelectronics, Beijing Advanced Innovation Center for Big Data and Brain Computing, Beihang University, Beijing 100191, China; Beijing National Laboratory for Condensed Matter Physics, Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China

**Ranran Zhang** – Anhui Province Key Laboratory of Condensed Matter Physics at Extreme Conditions, High Magnetic Field Laboratory, Chinese Academy of Science, Hefei 230031, China

**Lihua Yin** – Key Laboratory of Materials Physics, Institute of Solid State Physics, Chinese Academy of Sciences, Hefei 230031, China; [orcid.org/0000-0002-6993-1140](https://orcid.org/0000-0002-6993-1140)

**Yuping Sun** – Key Laboratory of Materials Physics, Institute of Solid State Physics, Chinese Academy of Sciences, Hefei 230031, China

**Haoliang Huang** – Anhui Laboratory of Advanced Photon Science and Technology, National Synchrotron Radiation Laboratory, University of Science and Technology of China, Hefei 230026, P. R. China; [orcid.org/0000-0002-5686-5519](https://orcid.org/0000-0002-5686-5519)

**Yalin Lu** – Anhui Laboratory of Advanced Photon Science and Technology, National Synchrotron Radiation Laboratory, University of Science and Technology of China, Hefei 230026, P. R. China

**Yi Lu** – Institute for Theoretical Physics, Heidelberg University, 69120 Heidelberg, Germany

**Chun Zhou** – Anhui Province Key Laboratory of Condensed Matter Physics at Extreme Conditions, High Magnetic Field Laboratory, Chinese Academy of Science, Hefei 230031, China

**Zongwei Ma** – Anhui Province Key Laboratory of Condensed Matter Physics at Extreme Conditions, High Magnetic Field Laboratory, Chinese Academy of Science, Hefei 230031, China; [orcid.org/0000-0002-9328-9194](https://orcid.org/0000-0002-9328-9194)

**Lei Shen** – Anhui Province Key Laboratory of Condensed Matter Physics at Extreme Conditions, High Magnetic Field Laboratory, Chinese Academy of Science, Hefei 230031, China;

University of Science and Technology of China, Hefei, Anhui 230026, China

**Jiandong Wang** – Anhui Province Key Laboratory of Condensed Matter Physics at Extreme Conditions, High Magnetic Field Laboratory, Chinese Academy of Science, Hefei 230031, China

**Jiandong Guo** – Beijing National Laboratory for Condensed Matter Physics, Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China; [orcid.org/0000-0002-7893-022X](https://orcid.org/0000-0002-7893-022X)

Complete contact information is available at:  
<https://pubs.acs.org/10.1021/acsami.0c08418>

### Author Contributions

◆X.X. and H.Z. contributed equally to this work.

### Notes

The authors declare no competing financial interest.

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